

1 Title: FASTENER FOR SECURING TWO SEPARATE WORKPIECES

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4 This is a continuation-in-part application based upon co-pending U.S. Patent  
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8 BACKGROUND OF THE INVENTION

9 The present invention relates to a fastener system for joining two separate,  
10 spaced-apart workpieces. More particularly, the present invention relates to a screw and  
11 collar configuration which attaches to a first workpiece by rotation of a threaded collar  
12 section in a first rotational direction thereby securing the screw/collar assembly to the  
13 first workpiece while rotation of the screw member in a second rotational direction while  
14 urging it against a second workpiece secures the screw to a second workpiece and  
15 resultantly joins the first workpiece to the second workpiece.

16 Initial development of the present invention was done with a medical appliance  
17 for bridging and stabilizing spaced-apart bone segments. A locking system was  
18 developed to provide stabilization of spaced-apart bone segments while still allowing  
19 some flexion and rearward extension of the bones with some lateral displacement.  
20 Subsequently, it was discovered that the locking system would be effective in securing  
21 any two spaced-apart workpieces, not just bone segments, including but not limited to  
22 woods, metals, plastics, and any other composition that will take or hold a thread.

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## SUMMARY OF THE INVENTION

Accordingly, the present invention provides a fastener or a screw locking system for joining and securing two, separate, spaced-apart workpieces. A screw having a head portion and a threaded body section has a slot in the head end to facilitate rotation of the screw as is conventionally known. A locking collar member is provided with an inner cavity for receiving and retaining the screw head. The outer wall of the collar is threaded to cooperate with corresponding threads in the first workpiece to releasably secure the collar to the first workpiece by rotation of the collar in a first rotational direction. The collar further has a slot along the top surface of the collar to facilitate the rotation of the collar. There is sufficient clearance between the outer surface of the screw head and the inner surface of the collar cavity to allow the screw to rotate within the collar cavity, but not so great a clearance as to allow the head to fall out or be pushed out of the collar cavity. The screw head/collar assembly may be threaded into the first workpiece as the threaded body section of the screw is threaded into the second workpiece. The head screw is rotatably secured within the collar and by tightening the collar into the first workpiece the screw is secured to the first workpiece. As the screw head is rotated in a second rotational direction (which may be the same as the first rotational direction) and the thread body section is urged against the second workpiece, the two workpieces are joined together. This happens because there is sufficient clearance for the screw head to rotate within the collar cavity without causing the collar to loosen from the first workpiece.

The use of complimentary screw head shapes (concave or convex) with corresponding collar cavity shapes (convex or concave) ensures that the screw head is

1     securely retained within the collar cavity. Forces urging the screw to loosen are not  
2     translated to the collar, therefore, the two workpieces stay joined in the most adverse  
3     conditions.

4             A unique wrench or tool is provided to allow for the easy rotation of the collar in  
5     a first direction while allowing the screw head to be rotated in a second direction.

6     Normally, the present invention will use screws and collars of the opposite thread hand,  
7     but there may be some applications where the screw and collar are the same hand thread.  
8     The number of threads on the collar section may be different than the number of threads  
9     on the screw to reduce the possibilities of the parts loosening.

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#### 11                     BRIEF DESCRIPTION OF THE DRAWINGS

12             Fig. 1 is a partial cutaway, perspective view of one embodiment of the present  
13     invention.

14             Fig. 2A illustrates a cross sectional side elevation view of the embodiment of Fig.  
15     1 with the collar secured in the first workpiece and the screw starting into the second  
16     workpiece.

17             Fig. 2B depicts the two workpieces joined together by the fastener of the present  
18     invention.

19             Fig. 3 shows a partial cutaway, perspective view of another embodiment of the  
20     present invention.

21             Fig. 4 illustrates a detailed partial cross sectional side elevation view of the  
22     embodiment of Fig. 3.

1            Fig. 5 is a side elevation illustration of the screw member of the embodiment of  
2   Fig. 3.

3            Fig. 6 shows a side elevation plan view of the wrench of the present invention.

4            Fig. 7 is a bottom plan view of the wrench of Fig. 6 showing opposite directions  
5   of rotation for the central rod and the outer barrel of the wrench.

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### 7            DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

8            The present invention, described herein, is used in conjunction with workpieces of  
9   whatever type which may be joined together by a threaded fastener. Fig. 1 illustrates the  
10   unique screw and collar fastener 10 of the present invention in an exploded perspective  
11   view. The fastener 10 is first secured in a threaded opening 12 in a first workpiece 14.  
12   The fastener is secured in the first workpiece by the collar section 16. A separate screw  
13   18 is rotatably secured within collar section 16. The screw 18 has a threaded first end 20,  
14   an opposite head end 22, and a head portion 24 (Fig. 2A) which is rotatably secured  
15   within an opening 26 in the threaded collar section 16. As may be seen in the drawings,  
16   the collar section 16 has external threads 28 which engage the complimentary internal  
17   locking threads 30 of the opening 12.

18            In Fig. 1, it may be seen that the thread end 20 of the screw extends beyond the  
19   underside 32 of the workpiece 14 as it passes through opening 12. A second workpiece  
20   34 may be joined to the first workpiece 14 as the pointed end 21 of the screw 18 is urged  
21   to penetrate the upper surface 36 of workpiece 34. It should be understood that in some  
22   applications the second workpiece may be provided with a predrilled reception orifice

1 shown as broken lines 38 in Fig. 1. In such situations the whole range of screw types  
2 may be used in the present inventive system.

3 Turning to Figs. 2A and 2B, the operation of the present inventive system may be  
4 seen. In Fig. 2A, screw 18 having a concave head portion 24, is retained in a  
5 cooperating, complimentary convex opening 26 in the thread collar section 16. The  
6 collar section has a rounded shoulder 40 to form the wall of the opening 26. A rotation  
7 slot 42 is cut into the body 44 so that the collar 16 may be rotated and secured in the  
8 threaded opening. (Again, one of ordinary skill in the art will recognize that opening 30  
9 may not need to be countersunk to allow the present inventive system to operate.) As the  
10 collar section 16 rotates and tightens into the first workpiece 14, the screw 18 is free to  
11 rotate about its head section 24 within the slightly larger complimentary opening 26 in  
12 the collar section 16.

13 Once the collar/screw combination is secured in the first workpiece, the screw 18  
14 may be rotated by placing an appropriate tool into the rotation slot 42 in head end 22. By  
15 urging upon the screw head end 22, the tip 21 is screwed or fastened into the second  
16 workpiece 34. Fig. 2B shows the two pieces 14 and 34 joined together. In some  
17 applications it may be appropriate to gradually tighten a portion of the collar 16 into the  
18 first workpiece 14 while gradually tightening the screw 18 into the second workpiece 34.

19 Further, the use of opposite sets of threads may be employed such that the  
20 possible slight rotation of the screw to loosen may be translated to the collar to tighten the  
21 collar in workpiece 14.

22 Fig. 3 illustrates an alternative embodiment 50 of the present invention which  
23 uses a substantially spherical or convex shaped screw head portion 24A retained in a

1 complimentary concave shaped opening 26A in the collar section 16A. As discussed  
2 above, the two spaced-apart workpieces 14 and 34 may be joined by securing the collar  
3 16A in workpiece 14 and tightening the screw into workpiece 34 and drawing the pieces  
4 together. Fig. 3 illustrates the concept of the collar 16A having a first rotational direction  
5 A for tightening and the screw 24A having a second rotational direction B for tightening.

6 Fig. 4 shows a detailed, partial, cross-sectional view of the spherical headed screw  
7 24A of Fig. 3. As may be seen in Fig. 4, the opening 26A has a radius  $r_1$  which is slightly  
8 larger than the radius  $r_2$  of the spherical head 24A. This creates a slight gap. This allows  
9 the screw to rotate freely within the collar section. Fig. 5 is simply a side elevation view  
10 of the screw 18A showing the head end 22A, the tightening slot 43A, the head portion  
11 24A, the threaded end 20A, and the screw pointed end 21A. The head portion 24A is  
12 provided with a convex, generally spherical radius  $r_1$ . As shown in Fig. 4, radius  $r_1$   
13 cooperates with a corresponding, complimentary, inner concave radius of the opening  
14 26A to limit axial and flexion movement of the screw collar 16A while the collar remains  
15 engaged in the opening 26A in the first workpiece 14.

16 To facilitate the insertion of the insertion locking and fastener systems of the  
17 embodiments described above, a unique wrench has been developed.

18 In Fig. 6, wrench 200 has a generally cylindrical outer barrel housing 202 with  
19 outwardly extending blades 204 at the base 206 of the barrel. Around a central portion  
20 208 is a grip member which is used to rotate the outer barrel 202 and the blades 204.

21 The barrel 202 has an inner orifice 212 extending from a top end 214 through the  
22 base 206. An elongated, generally cylindrical rod 216 extends through the orifice 212  
23 with a handle member 220 on a top end and a screw face 222 on the opposite, bottom

1 end. The screw face extends out of the orifice 212 and beyond the base 206. In one  
2 embodiment of the wrench, the rod and screw face are similar to a traditional Allen  
3 wrench with a number of flat surfaces which engage the flat surfaces of a cooperating  
4 Allen head screw. It should be understood that the rod and screw face may be other  
5 cooperating configurations including a socket wrench-like configuration well known in  
6 the fastener art.

7 Fig. 7 illustrates a bottom end view of the wrench 200 showing the arrangement  
8 of the screw face 222 and blades 204. It should be readily understandable that when the  
9 screw 18 or 18A of the present locking system has an Allen-type recess 43 or 43A in the  
10 screw head 24A, the screw face 222 has a complimentary Allen-type projection for  
11 rotation of the screw 18 or 18A. However, in order to tighten the collar portion 16 or  
12 16A within the opening 12 in the workpiece 14, the blades 224 engage the slot 40 in the  
13 top face of the collar 42A.

14 Thus, by the gradual tightening of the screw 18 or 18A separately from the  
15 tightening of the collar 16 or 16A, the locking system is utilized to engage the screw with  
16 the second workpiece 34. It has been found that when the threads of the screw 20 or 20A  
17 and the threads of the collar 28 or 28A are opposite hand, the likelihood of the screw  
18 loosening from the second workpiece is considerably reduced. (See directional arrows A  
19 and B in Fig. 3.)

20 As previously stated, while the threads 20 or 20A of the screw 18 or 18A and the  
21 collar threads 28 and 28A may be the same hand, the threads per millimeter of the screw  
22 are different than the threads per millimeter of the collar. This will achieve a degree of  
23 improved retention of the screw in the second workpiece while allowing the desired

1 movement of the screw within the concave gap between the screw head and the inner  
2 walls of the collar cavity.

3 Although the invention has been described with reference to a specific  
4 embodiment, this description is not meant to be construed in a limiting sense. On the  
5 contrary, various modifications of the disclosed embodiments will become apparent to  
6 those skilled in the art upon reference to the description of the invention. It is therefore  
7 contemplated that the appended claims will cover such modifications, alternatives, and  
8 equivalents that fall within the true spirit and scope of the invention.

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